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<b>(54) Title:</b> GUM BASE AND CHEWING GUM CONTAINING EDIBLE POLYESTERS  <b>(57) Abstract</b>  Gum bases and chewing gum formulations, as well as methods for making same, are provided. The gum base and chewing gums include an edible end-capped polyester.		

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## SPECIFICATION

### TITLE

### **"GUM BASE AND CHEWING GUM CONTAINING EDIBLE POLYESTERS"**

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### RELATED APPLICATIONS

This is a continuation-in-part of PCT patent application PCT/US97/18885, filed on October 20, 1997, which is a continuation-in-part of PCT patent application PCT/US96/16986, filed on October 22, 1996.

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### BACKGROUND OF THE INVENTION

The present invention relates generally to chewing gum. More specifically the present invention relates to improved formulations for chewing gum and gum bases.

It is of course known to construct chewing gum from a water insoluble gum base and a water soluble portion along with flavor(s). Gum base is designed to be retained in the mouth throughout the chewing period. The water soluble portion and flavors are designed to dissipate during chewing.

Insoluble gum base generally comprises elastomers, resins, fats and oils, softeners, and inorganic fillers. The elastomers can include either synthetic elastomers or natural elastomers. Natural elastomers include natural rubber. Synthetic elastomers include polyisobutylene, isobutylene-isoprene copolymers, styrene-butadiene copolymers, polyvinyl acetate, polyisoprene, polyethylene, vinyl acetate - vinyl laurate copolymers, and combinations thereof.

It is also known to use in gum base elastomer plasticizers. Such elastomer plasticizers can include natural rosin esters as well as other elastomer plasticizers. Additionally, chewing gum base can include filler/texturizers and softener/emulsifiers. Softeners optimize the chewability and mouth feel of the chewing gum. Softener/emulsifiers that are typically used include tallow, hydrogenated tallow, hydrogenated and partially hydrogenated vegetable oils, cocoa butter, glycerol monostearate, glycerol triacetate, lecithin, and combinations thereof.

In addition to a water insoluble gum base portion, a typical chewing gum composition includes a water soluble portion and one or more flavoring agents. The water soluble portion can include bulk sweeteners, high intensity sweeteners, flavoring agents, softeners, emulsifiers, colors, acidulants, fillers, antioxidants, and other components that provide desirable attributes.

### SUMMARY OF THE INVENTION

The present invention provides improved chewing gum formulations and bases, as well as methods of producing chewing gum and bases. Pursuant to the present invention chewing gum and gum bases that include end-capped edible polyesters are provided. In this regard, traditionally used elastomers and elastomer plasticizers can be replaced with these edible polyesters.

To this end the present invention provides, in an embodiment, a gum base including at least one edible polyester that is produced through a reaction of at least one alcohol chosen from the group consisting of glycerol, propylene glycol, and 1,3 butylene diol, and at least one acid chosen from the group consisting of fumaric acid, adipic acid, malic acid, succinic acid, and tartaric acid. The polyester is then end-capped with a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides and esters.

In an embodiment, the monofunctional ingredient is chosen from the group consisting of long chain or medium chain acyl alcohols, acyl chlorides, fatty acids, fatty alcohols and fatty acid esters.

In an embodiment, the base is wax-free.

In an embodiment, the base is non-tacky.

In an embodiment, the base is a bubble gum-type base.

In an embodiment, the edible polyester comprises approximately 1% to about 80% by weight of the base.

In another embodiment, the present invention provides a gum base including at least approximately 1% by weight of an edible polyester that is a product of a condensation reaction of at least one alcohol chosen from the group consisting of trihydroxyl alcohol and dihydroxyl alcohol, and at least one acid chosen from the group

consisting of dicarboxylic acid and tricarboxylic acid. The polyester is then end-capped with a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides and esters.

5 In an embodiment, the monofunctional ingredient is chosen from the group consisting of long or medium chain acyl alcohols, acyl chlorides, fatty acids, fatty alcohols, and fatty acid esters.

10 In a further embodiment, the present invention provides a chewing gum formulation comprising an insoluble gum base, a water soluble portion, a flavor, and at least 0.1% by weight of an edible polyester. The edible polyester is produced by the reaction of at least one alcohol chosen from the group consisting of glycerol, propylene glycol, and 1,3 butylene diol and at least one acid chosen from the group consisting of citric acid, fumaric acid, adipic acid, malic acid, succinic acid, and tartaric acid. The polyester is then end-capped with a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides, and esters.

15 In an embodiment, the formulation includes a bulk sweetener.

In an embodiment, the formulation includes a high intensity sweetener.

In an embodiment, the formulation includes an elastomer plasticizer.

In an embodiment, the formulation includes an elastomer.

In an embodiment, the chewing gum is sugar free.

20 In yet a still further embodiment, the present invention a method for manufacturing chewing gum comprising the step of adding to a water soluble portion and a flavor an edible polyester that is produced by the condensation reaction of at least one alcohol chosen from the group consisting of trihydroxyl alcohol and dihydroxyl alcohol and at least one acid chosen from the group consisting of dicarboxylic acid and tricarboxylic acid. The polyester is then end-capped with a monofunctional ingredient  
25 selected from the group consisting of alcohols, acids, chlorides, and esters.

It is an advantage of the present invention to provide an improved gum base.

Still further an advantage of the present invention is to provide an improved chewing gum formulation.

30 Another advantage of the present invention is to provide an improved method for making chewing gum.

Still further an advantage of the present invention is to provide an improved method for making gum base.

Moreover, an advantage of the present invention is that the gum base is biodegradable.

5 Furthermore, an advantage of the present invention is to replace traditional elastomers or elastomer plasticizers in chewing gum bases with other polymers.

Additional features and advantages of the present invention are described in, and will be apparent from, the detailed description of the presently preferred embodiments.

10

#### DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EMBODIMENTS

The present invention provides improved chewing gum formulations and gum  
15 base formulations. To this end, the present invention allows for the replacement or substitution for traditional elastomers and elastomer plasticizers with other polymers specifically edible polyesters that are end-capped.

Polyesters are polymers obtained by the esterification of dicarboxylic acid  
and dihydroxyl alcohol. Ester linkages may be formed at each end of each molecule.  
20 Thus, it is possible to build up a large molecule containing many ester linkages.

For example, one of the most common polyesters in use is polyethylene  
terephthalate made from ethylene glycol and terephthalic acid. Polyethylene  
terephthalate was developed as a fiber called Dacron. This polyester can also be used  
to make a film having unusual strength. Polyethylene terephthalate is currently used to  
25 make PET (polyethylene terephthalate) plastic bottles used for soft drinks.

In making polyesters, if a trihydroxyl alcohol such as glycerol is used,  
possible crosslinking occurs. The resultant resinous materials, glyptols, from glycerol  
and phthalic acid, find applications as coatings in the lacquer and paint industry.

It is possible to construct polyesters from edible or food grade materials. To  
30 this end, the tri or dihydroxyl alcohols glycerol, propylene glycol, and 1,3-butylene diol  
can be reacted with tri- or dicarboxylic acids such as citric, fumaric, adipic, malic,  
succinic, and tartaric acids.

With respect to these alcohols and acids, the reaction of the alcohol and acid form the ester linkages. As the reaction continues extended polyester chains are created by a condensation reaction. An initial study of various polyesters from these materials by the condensation reaction yielded polyesters that had rubbery, plastic or hard, resin-like textures. Because the starting alcohols and acids are food grade materials the resultant product is edible and can be used in food or confectionary products.

Edible polyesters made from glycerol and food grade acids are long chain polymers that continue to grow by a condensation reaction and when the reaction is stopped, free acid and alcohol ends of the polymer are available. The free acid and alcohol ends can be "end-capped" by reacting them with mono-alcohol or mono-acid functional ingredients. Examples of materials that can endcap an edible polyester are: cetyl alcohol (n-hexadecanol, also called palmitic alcohol); palmitic acid; stearic acid; stearic alcohol; stearyl chloride; other medium and long chain fatty alcohols and acids; cinnamic aldehyde; cellulose; cellulose acetate; modified starch; starch; adipoyl chloride; succinic anhydride; glutaric anhydride and other aliphatic chlorides and anhydrides; gum talha; zein; and gelatin.

In a preferred embodiment, medium and long chain fatty acids are used to endcap the edible polyester. In a most preferred embodiment, palmitic acid and palmitic alcohol are used.

To end-cap an edible polyester, generally about 1% to 35% of fatty acids/alcohols is used to obtain the desirable polyester. High levels of fatty acid/alcohols would afford improved texture, more hydrophobicity, and less water extractables. A level of approximately 20 to 28% fatty acid/alcohols is preferred, and most preferably 22 to 28%, to provide the desired edible polyester. Although higher levels may be used to end-cap the polyester, however, too high a level may reduce polyester biodegradability. The end-capping material may be added any time during the early, middle, or late stages of the reaction.

The end-capped edible polyesters have improved physical properties as base ingredients replacing elastomers, elastomer plasticizers, and resins. These polyesters have improved texture with more rubberiness, improved processability, improved hydrophobicity for extended flavor retention, and better water extractability. By end-

capping the edible polyester, the physical properties are improved making them more similar to gum base elastomers, elastomer plasticizers, and resins.

By way of example and not limitation, several polyesters were made using the various food acids and glycerol or propylene glycol. Gram quantities were made in a test tube heated in an oil bath at about 180°-250°C from ½ hr. to 5 hours to obtain polyesters.

The following polyesters were made:

			<u>Molar Ratios</u>	
			<u>Glycerin</u>	<u>Propylene Glycol</u>
10	A	Adipic Acid	2\1.28	-
	B	Adipic Acid	3.54\1.66	-
	C	Adipic Acid	1.4\2.03	-
	D	Malic Acid	2.0\1.28	-
	E	Malic Acid	-	2\1
15	F	Malic Acid	2\0.5	-
	G	Adipic/Malic Acid	1\1\1.28	-
	H	Adipic/Malic Acid	-	1.5\1.5\1
	I	Adipic Acid	-	2\1
	J	Adipic Acid	-	2\2
20	K	Tartaric Acid	2\1	-
	L	Fumaric Acid/Citric Acid	-	1\1\1
	M	Fumaric Acid	2\1	-
	N	Fumaric Acid/Citric Acid	1\1\1	-
	O	Adipic Acid	2\1\1**	-
25	P	Adipic Acid	-	2\1*2
	Q	Adipic Acid	-	2\2.5\1
	R	Adipic Acid	-	2\0.4*2

30 \* Low levels of Glycerol added

\*\* Made with Adipic Acid\Glycerin\Propylene Glycol



Products that were formed were viscous liquids to waxy rubbery-like or plastic gels to hard gels. Generally, as the mixtures were heated, moisture was driven off. Over several hours, liquids turned to gels or if removed from heat became solid gels.

5 The resultant polyesters were soft to hard plastic and soft to hard rubbery characteristics that had resin like texture. These polyesters appear to be very useful in a gum product. The glycerol adipate polyester was insoluble in water, chloroform, methanol, isopropyl alcohol, 0.1N sodium hydroxide, and concentrated HCl. Other polyesters were not tested for solubility but are believed to be less than 1% soluble in water.

10 The compatibility of polyesters with other gum base ingredients can be improved by increasing the lipophilicity of the polyester. This can be accomplished by increasing the length of the carbon backbone between the two acids of the dicarboxylic acid molecule.

In this regard, adipic acid has a 4 carbon chain between the two carboxylic acid groups. By increasing the carbon chain between the two groups to a 6, 8, or 10 carbon chain, lipophilicity can be increased. The 6 carbon chain diacid is suberic acid, and 8 carbon chain diacid is sebacic acid, and the 10 carbon chain diacid is dodecanedioic acid.

20 Other types of naturally occurring diacids may also be used to provide a more lipophilic polyester. Some such diacids include glucaric acid, glutamic acid, glutaric acid, and azelaic acid. Other materials that have at least two carboxylic acids or alcohol groups can also be used as reactants. Such materials with alcohol or hydroxyl groups are sorbitol, mannitol, glycerol monofatty acids and hydrocolloids.

25 Polyesters can be made by a variety of processes. Besides conventional methods of production, polyesters can be made by a condensation reaction in an extruder, by a condensation reaction in a batch process, or may be made by an enzymatic processes. The process of making the polyester is not limiting.

30 The polyesters that can be used pursuant to the present invention are not limited to linear polyesters, but can also include polyesters that may be branched or crosslinked. These may be made with tricarboxylic acids or trihydroxyl alcohols. The

desired polyester may have a broad range of physical properties from thick and syrupy to hard and rubbery with thermoplastic properties.

The examples of polyesters set forth below in Examples A-E were prepared from adipic acid and glycerin or propylene glycol. Both glycerin and propylene glycol were predried by heating in a round bottom flask at 50-60°C under vacuum overnight and stored over a dry helium atmosphere. By way of example, and not limitation, the following examples were made:

Example A:

In a 2L cylindrical glass reactor equipped with a mechanical stirrer and heating jacket, 800g of dried glycerol was charged. A stream of dry helium was circulated in the reactor and the outlet was connected to a trap maintained in ice-water.

The solution was heated to 100°C for 90 min. Then 1900g of adipic was charged into the reactor and the temperature was raised to 150°C. Water droplets started condensing. The amount of water condensed is tabulated below as a function of reaction time.

Time of Reaction	Amount of water condensed	Extent of reaction completed
90 min	100g	21%
150 min	200g	42%
180 min	250g	53%
220 min	310g	66%
240 min	340g	72.6%

After 4 hrs the gel point was reached. Two samples were picked out from the reactor at 180 min (Sample #A) and 220 min of reaction (Sample #AA) for analysis. The final product (Sample AAA) was recovered from the reactor. It was observed that the product near the walls was more sticky than the product inside the reactor and was kept in a separate jar. The product inside the reactor was foamy.

FTIR spectroscopy analysis of polymer films of samples A and AA cast on KBr windows from chloroform solutions confirmed the polycondensation products. Also the amount of water condensed from the reaction indicated polyester formation.

Example B

In a 3L cylindrical glass reactor equipped with a mechanical stirrer and heating jacket, 700g (9.19 mole) of dried propylene glycol was charged. A stream of dry helium was circulated in the reactor and the outlet was connected to a trap maintained in ice cold water.

The solution was heated to 100°C for 90 min. Then 1344.5g (9.19 mole) of adipic acid was charged into the reactor and the temperature was raised to 150°C. Water droplets started condensing. After two hours the reaction temperature was raised to 180°C. In about 3 hrs. 210 ml of water was collected in the trap. The temperature of the reactor was maintained at 180°C and vacuum was applied for about 2 hrs. An additional 120 ml of water was collected in the trap.

An SEC (Size Exclusion Chromatography) analysis (Sample B) of the product indicates the presence of dimers and trimers. The total Mw (Weight Average Molecular Weight) of the product was found to be 2800 and (Weight Average Molecular Weight/Number Average Molecular Weight = Polydispersity) Mw/Mn = 1.75.

The mechanical stirrer was removed from the reactor and the solution was heated further under vacuum ( $10^{-3}$  mm Hg) at 150°C for 2 hrs; at 180°C for 2 hrs; and at 200°C for another 5 hrs. A 15 ml quantity of condensate was collected during this period of heating under a vacuum. An SEC analysis of this product (Sample #BB) shows a Mw of 5500 with Mw/Mn = 1.90.

Example C

In a 3L cylindrical glass reactor equipped with a mechanical stirrer and heating jacket, 700g (9.19 mole) of dried propylene glycol was charged. A stream of dry helium was circulated in the reactor and the outlet was connected to a trap maintained in ice cold waters.

The solution was heated to 70°C for 30 min. Then 1344.5g (9.19 mole) of adipic acid was charged into the reactor and the temperature was raised to 100°C. Water droplets started condensing. After one hour 5ml of HCl (0.5 mole%) was added as a catalyst and the reaction temperature was raised to 150°C. In about 1 hr. 100 ml of water was collected in the trap. The pH of the collected water was around 4. The temperature of the reactor was raised to 180°C and around 145 ml of water was collected in the trap.

An SEC analysis (Sample C) indicates the presence of dimers and trimers. The total Mw of the product was 1700 and Mw/Mn = 2.43.

The mechanical stirrer was removed from the reactor. 2.5 ml (0.25 mole%) of HCl was added and the solution was heated further under vacuum ( $10^{-3}$  mm Hg) at 220°C for 4 hrs. A 25 ml quantity of condensate was collected during this period of heating under vacuum. An SEC analysis of this product (Sample #CC) shows the Mw to be 3700 with Mw/Mn = 2.47.

As in Example B, size exclusion chromatography (SEC) was carried out on a Varian liquid chromatograph equipped with a refractive detector. Three GPC columns from Supelco were used with THF as the eluent. The columns were calibrated with monodisperse polystyrene standards. The molecular weights and the polydispersity indice were calculated.

#### Example D

In a 3L cylindrical glass reactor equipped with a mechanical stirrer and heating jacket, 313 g (4.11 mole) of dried propylene glycol and 252 g (2.74 mole) of glycerol were charged. A stream of dry helium was circulated in the reactor and the outlet was connected to a trap maintained in ice cold water.

The solution was heated to 75°C for an hour. Then 1200 g (8.22 mole) of adipic acid was charged into the reactor and the temperature of the reactor was kept at 75°C for 2 hours. The temperature was raised to 180°C and water droplets started condensing. After 2 hours, 140 ml of water was collected in the trap. The temperature of the reactor was increased to 220°C and around 90 ml of water was collected in another 2 hrs. Vacuum was applied for about 1 hr at 220°C.

The solution became viscous and turned into a gel in about 1 hr. An additional 30 ml of water was collected in the trap. The final product (Sample D) was soft, sticky and difficult to remove from the reactor.

#### Example E

In a 3L cylindrical glass reactor equipped with a mechanical stirrer and heating jacket, 468 g (6.15 mole) of dried propylene glycol and 252 g (2.74 mole) of glycerol were charged. A stream of dry helium was circulated in the reactor and the outlet was connected to a trap maintained in ice cold water.

The solution was heated to 75°C for an hour. Then 1500 g (10.26 mole) of adipic acid was charged into the reactor and the temperature was kept at 75°C for 2 hrs. The temperature was raised to 180°C and water droplets started condensing. After 2 hrs. 140 ml of water was collected in the trap. The temperature of the reactor was increased to 220°C and around 100 ml of water was collected in another 2 hrs. Vacuum was applied for about 1 hr at 220°C.

The solution became viscous and a sample was collected after 30 min. (Sample EE). It was fluid-like. The vacuum was applied for another 30 min. upon which the solution turned into a gel. An additional 70 ml of water was collected in the trap. The reactor was allowed to cool and final product was recovered. The product (Sample E) was soft and sticky.

Samples D, E, EE were cross linked and would not dissolve in THF and therefore were not analyzed by SEC.

In order to determine the amount of initial material remaining a water washing of Example E was done. Using a Brabender Plasticorder with a 120ml Sigma mixer bowl and blade, 75.8 g of Example E was mixed with 20 ml of deionized water for 16 minutes. After some swelling another 20 ml of water was added and mixed 16 minutes. Then the water extract was removed and more water added. This was repeated 5 more times and the extracts analyzed for solids content. A total of 1.7% of Example E was extracted indicating very little material remained in the polyester. Polyester examples D & E were soaked in deionized water at room temperature for about 1 week. The polyesters were removed from the water and dried in a vacuum oven overnight at 45 to 50°C.

By way of example, and not limitation, examples of end-capped polyesters will now be given.

#### Example F

A reaction mixture of 22.6% palmitic acid, 51.6% adipic acid, and 25.8% glycerol by weight can be prepared as described above. This mixture consists of approximately equimolar amounts of acid and hydroxyl groups.

Briefly the process proceeded using a total mixture of 1600 grams and was as follows: Add 413 grams of dry glycerol to the reaction vessel and heat to 100°C. Add

825 grams of adipic acid and 362 grams of palmitic acid to the reaction vessel and heat to about 150°C and acid continue heating as water starts condensing and continues to condense until the reaction mixture reaches gelation. The batch is considered gelled when it begins to climb the agitator shaft.

- 5           At the gelation point for the polyester, tests have shown that approximately 70% of its acid and hydroxyl groups have reacted. This leaves about 30% of the acid and hydroxyl groups non-reacted. A polymer gel has reached its gelatin point where it is a solid matrix and can no longer melt; it can be softened, but cannot melt. For use in gum base it is desirable to have a gelled polyester in order to reduce water extractable materials.

10           Example G

A quantity of polyester can be prepared by mixing 15% hexadecanol (also called cetyl alcohol or palmitic alcohol), 56.6% adipic acid, and 28.4% glycerol by weight and can be prepared as previously described.

15           Example H

A quantity of polyester can be prepared by mixing 11.6% palmitic acid, 11.0% hexadecanol, 51.6% adipic acid, and 25.8% glycerol by weight. Palmitic acid may be added with adipic acid, and hexadecanol near the end of the batch. Process the same as previously described.

- 20           The following gum bases were made from the 2 washed polyesters set forth above using a Haake Rheocord Rheometer and Sigma mixer.

Base Example 1

- A 50.0 gram quantity of polyester of Example D was placed in a Haake Rheocord with a Sigma blade mixer. Added to this was 20.0 grams of calcium carbonate and blended for 15 minutes at 115°C.

Base Example 2

A 45.0 gram quantity of base of Example 1 above was added to the Haake Rheocord and blended at 115°C with 20.0 grams of medium molecular weight PVAc for 15 min.

30

Base Example 3

A 50.2 gram quantity of polyester of Example E was placed in the Haake Rheocord with the sigma mixer blade. Added to this was 30.0 grams of calcium carbonate and blended at 115°C for 20 minutes.

5 Base Example 4

A 40.8 gram quantity of base of Example 3 was placed in the Haake Rheocord and blended at 115°C with 10.0 grams of low molecular weight PVAc for 10 minutes.

Gum Examples 5, 6, and 7

10 The following gums were made from bases made above in a Brabender Plasticorder at 37°C.

	<u>Ex 5</u>	<u>Ex 6</u>	<u>Ex 7</u>
Base Examples	Ex 1	Ex 2	Ex 4
Base, grams	18	18	18
15 Sugar, grams	41	41	41
45Bé Corn Syrup, grams	11	11	11
Peppt. Flavor, grams	0.7	0.7	0.7
Total	70.7	70.7	70.7

20 Gum evaluation showed Example 5 with polyester and calcium carbonate had a good initial texture but became very soft and tacky in the late chew texture. Example 6 had a good initial texture as well as a good texture character throughout and was slightly tacky. Example 7 had a good initial texture, but became slightly soft and slightly tacky late.

25 The polyesters made here were not readily compatible with other base ingredients such as elastomers, elastomer plasticizers, waxes, and fats. By using a 2-monoglyceride as the starting diol instead of glycerin or propylene glycol, it is believed that more compatible polyesters can be developed and used with other gum base ingredients.

30 It was concluded that a quality chewing gum base and gum product can be made from polyesters from adipic acid and glycerol and/or propylene glycol.

The previous Examples F, G, and H of polyesters were made using the following procedure:

#### Procedure

5 The reactants are added to a 2-liter reaction flask having a heating jacket and equipped with a stirrer, a nitrogen inlet, a nitrogen/vacuum outlet with a trap, and a thermocouple. The reactants are added to the reaction vessel in the desired molar ratios of alcohol and acid groups (usually stoichiometrically equivalent).

10 The reaction vessel is purged with nitrogen and heated to 150°C to ensure melting of both reactants. After the reactants are melted, the mixture is stirred with a mechanical stirrer. The mixture is allowed to react for 2-3 hours.

15 Water is then collected in the condenser and measured to determine the extent of the reaction. When water elution slows (usually about an hour), the nitrogen purge is discontinued and the system is run under vacuum. When water elution slowed again (about 1 hour), the temperature is raised to 180°C. After the polyester forms a viscous gel (about 70% reaction completion) and begins to climb the agitator shaft that stops the mixer (usually about 0.5 to 1 hour), the heat is removed. The polyester is then removed and allowed to cool.

20 Pursuant to the present invention, the polyesters can be used in base formulations and/or chewing gum formulations. In this regard, the polyesters can be used as elastomers and/or elastomer plasticizers. As part of a gum base, the edible polyesters can comprise approximately 1 to about 80% by weight of the gum base. As part of the chewing gum, the edible polyesters can comprise approximately 0.1 to about 70% by weight of the chewing gum.

25 The polyesters can be used in a variety of different chewing gum and base formulations.

As previously noted, chewing gum generally consists of a water insoluble gum base, a water soluble portion, and flavors.

30 The insoluble gum base generally comprises elastomers, resins, fats and oils, softeners, and inorganic fillers. The gum base may or may not include wax. The insoluble gum base can constitute approximately 5 to about 95 percent, by weight, of the chewing gum, more commonly, the gum base comprises 10 to about 50 percent of the



gum, and in some preferred embodiments, 20 to about 35 percent, by weight, of the chewing gum.

In an embodiment, the chewing gum base of the present invention contains about 1% to about 80% weight percent food grade polyester, about 20 to about 60 weight percent synthetic elastomer, 0 to about 30 weight percent natural elastomer, about 5 to about 55 weight percent elastomer plasticizer, about 4 to about 35 weight percent filler, about 5 to about 35 weight percent softener, and optional minor amounts (about one percent or less) of miscellaneous ingredients such as colorants, antioxidants, etc.

Synthetic elastomers may include, but are not limited to, polyisobutylene with a GPC weight average molecular weight of about 10,000 to about 95,000, isobutylene-isoprene copolymer (butyl elastomer), styrene-butadiene copolymers having styrene-butadiene ratios of about 1:3 to about 3:1, polyvinyl acetate having a GPC weight average molecular weight of about 2,000 to about 90,000, polyisoprene, polyethylene, vinyl acetate-vinyl laurate copolymer having vinyl laurate content of about 5 to about 50 percent by weight of the copolymer, and combinations thereof.

Preferred ranges are, for polyisobutylene, 50,000 to 80,000 GPC weight average molecular weight, for styrene-butadiene, 1:1 to 1:3 bound styrene-butadiene, for polyvinyl acetate, 10,000 to 65,000 GPC weight average molecular weight with the higher molecular weight polyvinyl acetates typically used in bubble gum base, and for vinyl acetate-vinyl laurate, vinyl laurate content of 10-45 percent.

If used, natural elastomers may include natural rubber such as smoked or liquid latex and guayule as well as natural gums such as jelutong, lechi caspi, perillo, sorva, massaranduba balata, massaranduba chocolate, nispero, rosindinha, chicle, gutta hang kang, and combinations thereof. The preferred synthetic elastomer and natural elastomer concentrations vary depending on whether the chewing gum in which the base is used is adhesive or conventional, bubble gum or regular gum, as discussed below. Preferred natural elastomers include jelutong, chicle, sorva and massaranduba balata.

If used, elastomer plasticizers may include, but are not limited to, natural rosin esters, often called estergums, such as glycerol esters of partially hydrogenated rosin, glycerol esters polymerized rosin, glycerol esters of partially dimerized rosin,

glycerol esters of rosin, pentaerythritol esters of partially hydrogenated rosin, methyl and partially hydrogenated methyl esters of rosin, pentaerythritol esters of rosin; synthetics such as terpene resins derived from alpha-pinene, beta-pinene, and/or d-limonene; and any suitable combinations of the foregoing. the preferred elastomer plasticizers will also  
5 vary depending on the specific application, and on the type of elastomer which is used.

Fillers/texturizers may include magnesium and calcium carbonate, ground limestone, silicate types such as magnesium and aluminum silicate, clay, alumina, talc, titanium oxide, mono-, di- and tri-calcium phosphate, cellulose polymers, such as wood, and combinations thereof.

10 Softeners/emulsifiers may include tallow, hydrogenated tallow, hydrogenated and partially hydrogenated vegetable oils, cocoa butter, glycerol monostearate, glycerol triacetate, lecithin, mono-, di- and triglycerides, acetylated monoglycerides, fatty acids (e.g. stearic, palmitic, oleic and linoleic acids), and combinations thereof.

Colorants and whiteners may include FD&C-type dyes and lakes, fruit and  
15 vegetable extracts, titanium dioxide, and combinations thereof.

The base may or may not include wax. An example of a wax-free gum base is disclosed in U.S. Patent No. 5,286,500, the disclosure of which is incorporated herein by reference.

In addition to a water insoluble gum base portion, a typical chewing gum  
20 composition includes a water soluble bulk portion and one or more flavoring agents. The water soluble portion can include bulk sweeteners, high intensity sweeteners, flavoring agents, softeners, emulsifiers, colors, acidulants, fillers, antioxidants, and other components that provide desired attributes.

The softeners, which are also known as plasticizers and plasticizing agents,  
25 generally constitute between approximately 0.5 to about 15% by weight of the chewing gum. The softeners may include glycerin, lecithin, and combinations thereof. Aqueous sweetener solutions such as those containing sorbitol, hydrogenated starch hydrolysates, corn syrup and combinations thereof, may also be used as softeners and binding agents in chewing gum.

Bulk sweeteners include both sugar and sugarless components. Bulk sweeteners typically constitute 5 to about 95% by weight of the chewing gum, more typically, 20 to 80% by weight, and more commonly, 30 to 60% by weight of the gum.

Sugar sweeteners generally include saccharide-containing components commonly known in the chewing gum art, including, but not limited to, sucrose, dextrose, maltose, dextrin, dried invert sugar, fructose, galactose, corn syrup solids, and the like, alone or in combination.

Sorbitol can be used as a sugarless sweetener. Additionally, sugarless sweeteners can include, but are not limited to, other sugar alcohols such as mannitol, hydrogenated isomaltulose (palatinit), xylitol, hydrogenated starch hydrolysates, maltitol, lactitol and the like, alone or in combination.

High intensity artificial sweeteners can also be used in combination with the above. Preferred sweeteners include, but are not limited to sucralose, aspartame, salts of acesulfame, alitame, saccharin and its salts, cyclamic acid and its salts, glycyrrhizin, dihydrochalcones, thaumatin, monellin, and the like, alone or in combination. In order to provide longer lasting sweetness and flavor perception, it may be desirable to encapsulate or otherwise control the release of at least a portion of the artificial sweetener. Such techniques as wet granulation, wax granulation, spray drying, spray chilling, fluid bed coating, coacervation, and fiber extension may be used to achieve the desired release characteristics.

Usage level of the artificial sweetener will vary greatly and will depend on such factors as potency of the sweetener, rate of release, desired sweetness of the product, level and type of flavor used and cost considerations. Thus, the active level of artificial sweetener may vary from 0.02 to about 8%. When carriers used for encapsulation are included, the usage level of the encapsulated sweetener will be proportionately higher.

Combinations of sugar and/or sugarless sweeteners may be used in chewing gum. Additionally, the softener may also provide additional sweetness such as with aqueous sugar or alditol solutions.

If a low calorie gum is desired, a low caloric bulking agent can be used. Example of low caloric bulking agents include: polydextrose; Raftilose, Raftilin; Fructooligosaccharides (NutraFlora); Palatinose oligosaccharide; Guar Gum Hydrolysate

(Sun Fiber); or indigestible dextrin (Fibersol). However, other low calorie bulking agents can be used.

5 A variety of flavoring agents can be used. The flavor can be used in amounts of approximately 0.1 to about 15 weight percent of the gum, and preferably, about 0.2 to about 5%. Flavoring agents may include essential oils, synthetic flavors or mixtures thereof including, but not limited to, oils derived from plants and fruits such as citrus oils, fruit essences, peppermint oil, spearmint oil, other mint oils, clove oil, oil of wintergreen, anise and the like. Artificial flavoring agents and components may also be used. Natural and artificial flavoring agents may be combined in any sensorially acceptable fashion.

10 The present invention, it is believed, can be used with a variety of processes for manufacturing chewing gum.

Chewing gum is generally manufactured by sequentially adding the various chewing gum ingredients to commercially available mixers known in the art. After the ingredients have been thoroughly mixed, the chewing gum mass is discharged from the mixer and shaped into the desired form, such as by rolling into sheets and cutting into sticks, extruding into chunks, or casting into pellets.

Generally, the ingredients are mixed by first melting the gum base and adding it to the running mixer. The gum base may alternatively be melted in the mixer. Color and emulsifiers can be added at this time.

20 A chewing gum softener such as glycerin can be added next along with part of the bulk portion. Further parts of the bulk portion may then be added to the mixer. Flavoring agents are typically added with the final part of the bulk portion. The entire mixing process typically takes from five to fifteen minutes, although longer mixing times are sometimes required.

25 It should be understood that various changes and modifications to the presently preferred embodiments described herein will be apparent to those skilled in the art. Such changes and modifications can be made without departing from the spirit and scope of the present invention and without diminishing its attendant advantages. It is therefore intended that such changes and modifications be covered by the appended claims.

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## WE CLAIM:

1. A gum base including at least one polyester that is produced through a reaction of at least one alcohol chosen from the group consisting of glycerol, propylene glycol, and 1,3 butylene diol, and at least one acid chosen from the group consisting of fumaric acid, adipic acid, malic acid, succinic acid, and tartaric acid, the polyester being  
5 end-capped with a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides, and esters.
2. The gum base of Claim 1 wherein the monofunctional ingredient is selected from the group consisting of long or medium chain acyl alcohols, acyl chlorides,  
10 fatty acids, fatty alcohols, and fatty acid esters.
3. The gum base of Claim 1 wherein the base is wax-free.
4. The gum base of Claim 1 wherein the base is non-tacky.
5. The gum base of Claim 1 wherein the base is a bubble gum-type base.
6. The gum base of Claim 1 wherein the polyester comprises  
15 approximately 1% to about 80% by weight of the base.
7. The gum base of Claim 1 including at least one elastomer.
8. The gum base of Claim 1 wherein the polyester is end-capped with palmitic acid.
9. The gum base of Claim 1 wherein the monofunctional ingredient  
20 comprises approximately 20 to about 28% of the polyester.
10. A gum base including at least approximately 1% by weight of a polyester that is a product of a reaction of at least one alcohol chosen from the group consisting of trihydroxyl alcohol and dihydroxyl alcohol, and one acid chosen from the group consisting of dicarboxylic acid and tricarboxylic acid, the polyester being end-  
25 capped with a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides, and esters.
11. The gum base of Claim 10 wherein the monofunctional ingredient is selected from the group consisting of an alcohol or acid selected from the group consisting of long or medium chain acyl alcohols, acyl chlorides, fatty acids, fatty  
30 alcohols, and fatty acid esters.
12. The gum base of Claim 10 wherein the base is wax-free.

13. The gum base of Claim 10 wherein the base is non-tacky.
14. The gum base of Claim 10 wherein the base is a bubble gum-type base.
15. The gum base of Claim 10 including at least one elastomer.
- 5 16. A chewing gum formulation comprising:  
an insoluble gum base;  
a water soluble portion;  
a flavor; and  
at least 0.1% by weight of a polyester produced by the reaction of at least one  
10 alcohol chosen from the group consisting of glycerol, propylene glycol, and 1,3 butylene diol and at least one acid chosen from the group consisting of fumaric acid, adipic acid, malic acid, succinic acid, and tartaric acid, the polyester being end-capped with a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides, and esters.
- 15 17. The chewing gum of Claim 16 wherein the monofunctional group is selected from the group consisting of an alcohol or acid selected from the group consisting of long or medium chain acyl alcohols, acyl chlorides, fatty acids, fatty alcohols, and fatty acid esters.
18. The chewing gum formulation of Claim 16 wherein the formulation  
20 includes a bulk sweetener.
19. The chewing gum formulation of Claim 16 wherein the formulation includes a high intensity sweetener.
20. The chewing gum formulation of Claim 16 wherein the formulation includes an elastomer plasticizer.
- 25 21. The chewing gum formulation of Claim 16 wherein the formulation includes an elastomer.
22. The chewing gum of Claim 16 wherein the chewing gum is sugar free.
23. A method for manufacturing chewing gum comprising the step of adding to a water soluble portion and a flavor an edible polyester produced by the  
30 reaction of at least one alcohol chosen from the group consisting of trihydroxyl alcohol and dihydroxyl alcohol and at least one acid chosen from the group consisting of

dicarboxylic acid and tricarboxylic acid, the polyester being end-capped with a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides, and esters.

24. A gum base including at least one polyester that is produced through  
5 a reaction of at least one alcohol chosen from the group consisting of glycerol, propylene glycol, and 1,3 butylene diol, and at least one acid chosen from the group consisting of fumaric acid, adipic acid, malic acid, succinic acid, and tartaric acid, the polyester being end-capped by a monofunctional ingredient selected from the group consisting of alcohols, acids, chlorides, and esters that comprises approximately 22 to about 28% by  
10 weight of a resultant polyester.

25. The gum base of Claim 24 wherein the monofunctional acid is a fatty acid.

26. The gum base of Claim 24 wherein the monofunctional acid is chosen from the group consisting of palmitic acid and palmitic alcohol.

## INTERNATIONAL SEARCH REPORT

 International application No.  
 PCT/US99/29774

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A23G 3/30

US CL : 426/3, 4, 6

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 426/3, 4, 6

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

NONE

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

NONE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 4,525,363 A (D'AMELIA ET AL) 25 June 1985 (25-06-85).	none
A	US 5,672,367 A (GRIJPMAN ET AL) 30 September 1997 (30-09-97).	none
A	US 5,458,890 A (WILLIFORD ET AL) 17 October 1995 (17-10-95).	none



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A" document defining the general state of the art which is not considered to be of particular relevance	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"E" earlier document published on or after the international filing date	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"&" document member of the same patent family
"O" document referring to an oral disclosure, use, exhibition or other means	
"P" document published prior to the international filing date but later than the priority date claimed	

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28 FEBRUARY 2000

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21 MAR 2000

 Name and mailing address of the ISA/US  
 Commissioner of Patents and Trademarks  
 Box PCT  
 Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

ARTHUR L. CORBIN

Telephone No. (703) 308-0651